PTO/SB/21 (08-00)

Under the Haberwood Reduction Act o	f 1005, no persons are required to re	Ap U.S. Patent and Trac spond to a collection of inform	pproved for use through demark Office: U.S. DEF nation unless it displays	10/31/2002. OMB 0651-0031 PARTMENT OF COMMERCE s a valid OMB control number.		
Under the Katerwook Reduction Act of	1000, no persons are 154.	Application Number				
TRANSMITTAL		Filing Date	C	06/26/2002		
	ORM	First Named Invento				
_	espondence after initial filing)	Group Art Unit		RECEIVED		
		Examiner Name		AUG 2 6 2002		
Total Number of Pag	es in This Submission 14	Attorney Docket Nun	ober VIAP003	VIAP0038USA Technology Center 2600		
	ENCI	OSURES (che	ck all that apply			
Fee Transmittal Form Fee Attached Amendment / Reply After Final Affidavits/declarat Extension of Time Reque Express Abandonment F Information Disclosure S Certified Copy of Priority Document(s) Response to Missing Pa Incomplete Application Response to Missing Pa Incomplete Application Response to Missing Pa Incomplete Application	ion(s) Petition Petition Provisi Power Chang Addres Termir Request tatement CD, N Remarks	ing-related Papers n to Convert to a conal Application of Attorney, Revocation e of Correspondence	to Group Appeal C of Appeal C (Appeal Not Propriet: Status L Other E identify	communication to Board als and Interferences communication to Group tice, Brief, Reply Brief) ary Information Letter Inclosure(s) (please below): and request thereo		
		A TODAKY	OD ACENT	2800		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Mord Michael Lewis (Registration Number:50,478)						
or Individual name		(,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,				
Signature 7	Signature 71-21 Midrael 65 50,478 Date 8/20/2002					
Date 8/20/2002						
CERTIFICATE OF MAILING						
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:						
Typed or printed name						
Signature			Date			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

OTAL	AMOUNT	OF PAYMENT	(\$)

Sporid to a conection of inter	
Co.	mplete if Known
Application Number	10/064,259 = 0
Filing Date	06/26/2007
First Named Inventor	Jui-Feng Chango
Examiner Name	J. SEE J.
Group Art Unit	VE NE
Attorney Docket No.	VIA7003804A2

TOTAL AMOUNT OF PAYMENT (\$) 0,00	Attorney Docket No. VIA 7003803A	0		
METHOD OF PAYMENT	FEE CALCULATION (continued)			
The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account 50-0801	3. ADDITIONAL FEES Large Small Entity Entity Fee Fee Fee Fee Fee Code (\$) Code (\$) Tee Code (\$) Tee Description AUG 2			
Deposit Account Office	Code (\$) Code (\$) 105 130 205 65 Surcharge - late filing fee or oath 127 50 227 25 Surcharge - late provisional Technology cover sheet			
Name Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17				
Applicant claims small entity status. See 37 CFR 1.27	139 130 139 130 Non-English specification 147 2,520 147 2,520 For filing a request for <i>ex parte</i> reexamination			
2. Payment Enclosed: Obactor Organicard Money Other	112 920° 112 920° Requesting publication of SIR prior to Examiner action			
FEE CALCULATION	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action			
	115 110 215 55 Extension for reply within first month			
1. BASIC FILING FEE Large Entity Small Entity	116 400 216 200 Extension for reply within second month			
Fee Fee Fee Fee Description	117 920 217 460 Extension for reply within third month			
Code (\$) Code (\$) 101 740 201 370 Utility filing fee	118 1,440 218 720 Extension for reply within fourth month			
106 330 206 165 Design filing fee	128 1,960, 228 980 Extension for reply within fifth month			
107 510 207 255 Plant filing fee	119 320 219 160 Notice of Appeal			
108 740 208 370 Reissue filing fee	120 320 220 160 Filing a brief in support of an appeal			
114 160 214 80 Provisional filing fee	121 280 221 140 Request for oral hearing			
l	138 1,510 138 1,510 Petition to institute a public use proceeding			
SUBTOTAL (1) (\$) 0,00	140 110 240 55 Petition to revive - unavoidable			
2. EXTRA CLAIM FEES	141 1,280 241 640 Petition to revive - unintentional			
Ext <u>ra Claims below</u> Fee Paid	1			
Total Claims20** = X =	143 460 243 230 Design issue fee			
Independent State X	144 020 244 010			
Multiple Dependent	122 130 122 130 Petitions to the Commissioner			
	123 50 123 50 Processing fee under 37 CFR 1.17(q)			
Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	126 180 126 180 Submission of Information Disclosure Stmt 581 40 581 40 Recording each patent assignment per			
103 18 203 9 Claims in excess of 20	property (times number of properties)			
102 84 202 42 Independent claims in excess of 3	146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a))			
104 280 204 140 Multiple dependent claim, if not paid 109 84 209 42 ** Reissue independent claims	149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b))			
over original patent 110 18 210 9 ** Reissue claims in excess of 20	179 740 279 370 Request for Continued Examination (RCE)			
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	169 900 169 900 Request for expedited examination of a design application			
SUBTOTAL (2) (\$) 0,00	Other fee (specify)	<u> </u>		
**or number previously paid, if greater: For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 6	0,00		

			Complete (i	f applicable)
SUBMITTED BY		Registration No. A1 526	Tolophone	886-2-8923-7350
Name (Print/Type)	T WINSTON HSU	Registration No. 41,526 (Attorney/Agent)	relepitorie	000-2-0020-7000
,,,,,,		January January 1	0-40	811912002
Signature	Winston	Hau	Date	0/17/2002
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WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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N THE UNITED STATES PATENT AND TRADEMARK OFFICE

5 Applicants:

Jui-Feng Chang,

Examiner:

N/A

Chu-Yu Hsiao

Filing Date:

06/26/2002

Art Unit:

N/A

App. No.:

10/064,259

Docket No.:

VIAP0038USA

10 Title:

Method for detecting connection polarity of network transmission lines

and associated detection circuit

To:

Office of Initial Patent Examination

Washington, D.C. 20231

RECEIVED

AUG 2 6 2002

15

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Subject: EFS error and request thereof

Technology Center 2600

Dear Sir or Madame:

An error has arisen in the course of electronically filing a nonprovisional patent application. The error arose due to confusion with the user interface of the USPTO's Electronic Filing System (EFS). This error resulted in two filings for the same application, with a consequent issuance of two filing receipts, and deduction from a deposit account the filing and assignment fees for two applications. A request that the first, unintentional filing be stricken from the record, and that a corresponding credit to the deposit account for the fees thereof, is requested. The facts of the case are outlined below.

On 06/26/2002, an electronic filing procedure was initiated to file a nonprovisional patent application, attorney docket number VIAP0038USA. In the course of the filing, a screen similar to the one presented on page "1" (page numbers are indicated with red encircled numerals) was generated. Unfortunately, an error was detected while data

1

was being uploaded to the USPTO. Specifically, it was noted that the title had been garbled, the first word "Method" reading "Methmethod". Consequently, the "Cancel" button (indicated by red arrow on page "1") was pressed, in the belief that this would cancel the filing procedure. This belief was erroneous. This was not, however, known at the time. A bit later and on the same day, with the title corrected, a new EFS filing procedure was performed and completed for the case.

Page "2" is a printout of information obtained from the USPTO regarding the so-called "Acknowledgement Receipt". It was our belief, based upon this information, that if an Acknowledgment Receipt acknowledged the successful upload of data, then lack of such a receipt would indicate that no successful upload of data had occurred, and hence no EFS procedure had been completed. When the "Cancel" button of page "1" had been pressed, the EFS procedure appeared to have been canceled, and no Acknowledgment Receipt was received. It was assumed, then, that no EFS procedure had, in fact occurred. A subsequent EFS procedure, fully completed, resulted in the corresponding Acknowledgment Receipt of page "3". The upshot of this is that it was assumed, based upon the received data and the assumption that the "Cancel" button really does cancel the EFS procedure, that only one filing procedure had occurred.

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However, on 07/29/2002, much to our surprise, we obtained *two filing receipts* for the same nonprovisional application. The first filing receipt, page "4" and bearing the application number 10/064,258, must have arisen as a result of the allegedly canceled first EFS procedure, as it contains the garbled title (with a portion thereof encircled in red). The second filing receipt, page "5" and having application number 10/064,259, must be in response to the second, fully completed EFS procedure, as it contains the corrected title.

Some time later the deposit account used for the above filing(s) was checked, deposit account number 500801. The output of this is shown on pages "6a", "6b" and "6c". In particular, though, attention is drawn to the highlighted portions of page "6c". Arrow "a" indicates the deduction of filing fees for the unintentional and unwanted first filing procedure, the procedure that was thought to have been canceled. Arrow "b" indicates

the deduction of assignment fees for the unintentional and unwanted first filing procedure. The total fees for misinterpreting the meaning of the "Cancel" button on page "1" thus amounts to \$780.00. If it had been known that the first filing process was not actually canceled (i.e., that application 10/064,258 actually existed and would be charged for), correction to the title could have been done for free by way of amendment.

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To my knowledge, there are currently no procedures in place within the MPEP to account for this problem, as the problem is unique to the EFS. I do not feel that a proper analogy can be drawn from standard mailing procedures. The relatively instantaneous feedback of the EFS tends to imply the occurrence of actions that the slower mailing procedure simply cannot. For example, one can only be certain that a case was received and filed by the USPTO in a normal mailing procedure upon receiving the Filing Receipt, which occurs weeks later, and which can be lost in the mail. However, with the EFS, one is instantly assured that a case was filed by reception of the Acknowledgment Receipt, and there is no reason to believe that such an Acknowledgment Receipt could be "lost" in the electronic exchange. The simple act of not getting the Acknowledgment Receipt seems therefore to imply that a case has not been filed, and implies this in a manner that is much stronger than not receiving a Filing Receipt would in a typical mailing procedure. However, if an analogy must be drawn, then pressing the "Cancel" button of page "1" should essentially be like removing correspondence from a postal service outbox, preventing the correspondence from reaching the USPTO in the first place.

In short, it is requested that the first, inadvertent filing, application number 10/064,258, as shown on page "4", be canceled. This is not a request for express abandonment, but is instead a request that the case be considered as never filed in the first place. Something that has not been filed cannot be abandoned, and should therefore have no associated service fees. The second, correct filing, application number 10/064,259, as shown on page "5" is to be kept. Further, it is requested that the filing fee of \$740.00, and the assignment fee of \$40.00, associated with the inadvertent filing, be credited back to the deposit account for NAIPO, account number 500801.

Finally, we would respectfully suggest that future versions of the EFS contain some sort of verification procedure to avoid the above-indicated problem. For example, if the "Cancel" button is pressed, the EFS should indicate that the entire procedure has been canceled and that no filing will thus result, or otherwise indicate that an application has nonetheless been filed, and fees thereof will be deducted from the deposit account. Alternatively, the USPTO may consider a final "Are you absolutely certain?" step that must be confirmed prior to final acceptance of uploaded information.

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My regards,

Nois Mark 25 50,478

Date: 8/20/2002

15 Mord Michael Lewis, Patent Agent No.50,478

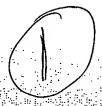
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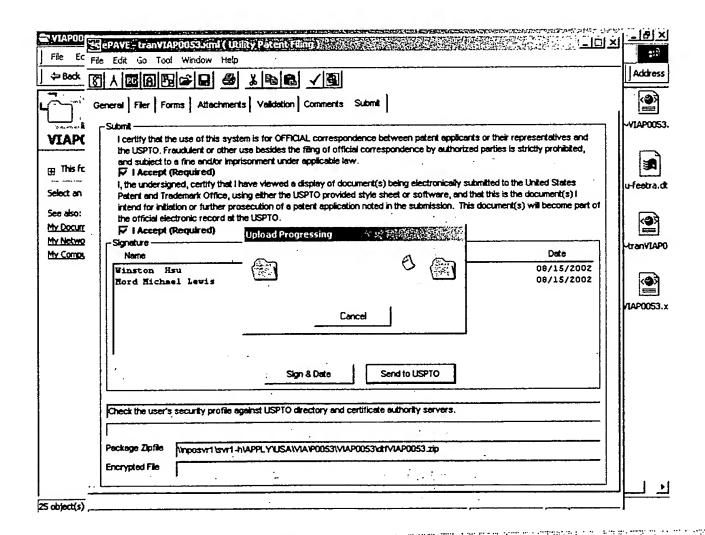
Merrifield, VA 22116

U.S.A.

e-mail: mikelewis@naipo.com.tw

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Filer, Forms, Attachments, Validate, Comments, and Submit Tabs, the Print menu item in File will print the application transmittal form.

When application data sheet, fee transmittal, Biosequence transmittal, and patent assignment recordation forms are being created those forms will print when Print is selected from the File menu.

After validation a complete list of any validation errors and a description of those errors may be printed by clicking on the **Print Errors** button.

The application data sheet, fee transmittal, Biosequence transmittal, and patent assignment recordation forms may be printed by highlighting the form in the Attachments tab and selecting the View button. From the viewer, the displayed form may be printed.

Acknowledgement Receipt

After the package has been transmitted to the USPTO server will date and timestamp the package, verify that the contents of the package have not been altered using digital signature technology, and return a unique EFS Transaction ID, the date and time received at USPTO, and other pertinent information to ePAVE to make-up the Acknowledgement Receipt.

Please refer to the EFS Legal Framework at the Patents Electronic Business Center web site for force and effect of the Acknowledgement Receipt. The Acknowledgement Receipt establishes the date of filing for New Utility Patent Application or the date of receipt for subsequent filing. The Acknowledgement Receipt does not grant an official filing date for the new utility Patent application.

If the print version of the New Utility Patent Application filed using EFS is unreadable the applicant will be promptly notified by phone, fax or e-mail depending on the correspondence and application information provided by the applicant to USPTO. If subsequent filings are unreadable or usable the applicant or practitioner will be sent notification as is current practice.

Acknowledgment Receipt:

SUBMISSION TYPE: Utility Patent Filing

APPLICATION NUMBER: 10064259

FIRST NAMED INVENTOR: Jui-Feng Chang

TITLE OF INVENTION: METHOD FOR DETECTING CONNECTION POLARITY OF

NETWORK TRANSMISSION LINES AND ASSOCIATED DETECTION CIRCUIT

ATTORNEY DOCKET NUMBER: VIAPOO38USA

FILE LISTING:

transmittal declaration declaration specification specification specification specification specification specification specification specification bibd-transmittal bibd-transmittal bibd-transmittal patent-assignments patent-assignments patent-assignments

tranVIAP0038.xml 7132 Bytes VIAPO038DEC1.tif 34568 Bytes VIAP0038DEC2.tif 31716 Bytes VIAP0038.xml 27210 Bytes u-specif.dtd 106427 Bytes specif.xsl 29904 Bytes viap0038fig1A.tif 6556 Bytes viap0038fig1B.tif 6468 Bytes viap0038fig2.tif 10756 Bytes viap0038fig3.tif 8396 Bytes viap0038fig4.tif 9720 Bytes VIAP0038apds.xml 5467 Bytes u-bibdat.dtd 35690 Bytes e-bibdat.xsl 24172 Bytes VIAP0038asgn.xml 2561 Bytes PTASassignment.dtd 27812 Bytes PTASassignment.xsl 11872 Bytes

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EFS ID: 16073

FILE SIZE: 189167 Bytes

TIMESTAMP: Wed Jun 26 05:27:55 EDT 2002 MESSAGE DIGEST: TgfTHA51XgkZ89TFe85Qyw==

DIGITAL CERTIFICATE HOLDER NAME: cn=Winston Hsu, ou=Registered Attorneys UPLOAD STATUS: You have successfully uploaded your submission to USPTO







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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

 APPLICATION NUMBER
 FILING DATE
 GRP ART UNIT
 FIL FEE REC'D
 ATTY.DOCKET.NO
 DRAWINGS
 TOT CLAIMS
 IND CLAIMS

 1 0/064,258
 06/26/2002
 2816
 740
 VIAP0038USA
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CONFIRMATION NO. 8441

027765 NAIPO (NORTH AMERICA INTERNATIONAL PATENT OFFICE) P.O. BOX 506 MERRIFIELD, VA 22116 Date Mailed: 07/12/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jui-Feng Chang, Tai-Nan City, TAIWAN; Chu-Yu Hsiao, Tai-Chung City, TAIWAN;

Domestic Priority data as claimed by applicant

Foreign Applications

TAIWAN 090123374 09/21/2001

If Required, Foreign Filing License Granted 07/11/2002

Projected Publication Date: 03/27/2003

Non-Publication Request: No

Early Publication Request: No

Title

Methmethod for detecting connection polarity of network transmission lines and associated detection circuit

Preliminary Class



United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

IND CLAIMS ATTY.DOCKET.NO DRAWINGS TOT CLAIMS FIL FEE REC'D FILING DATE GRP ART UNIT APPLICATION NUMBER 3 17 740 VIAP0038USA 06/26/2002 2681 10/064,259

CONFIRMATION NO. 8443

027765

NAIPO (NORTH AMERICA INTERNATIONAL PATENT OFFICE)

P.O. BOX 506

MERRIFIELD, VA 22116

FILING RECEIPT OC000000008448514*

Date Mailed: 07/15/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jui-Feng Chang, Tai-Nan City, TAIWAN; Chu-Yu Hsiao, Tai-Chung City, TAIWAN;

To 威盛彭雅玲 小姐

Domestic Priority data as claimed by applicant

Foreign Applications

TAIWAN 090123374 09/12/2001

If Required, Foreign Filing License Granted 07/12/2002

Projected Publication Date: 03/13/2003

Non-Publication Request: No

Early Publication Request: No

Trowse 業職惠先

2002/1/30.

Title

Method for detecting connection polarity of network transmission lines and associated detection

Preliminary Class





Return To USPTO Home Page Finance Home Page

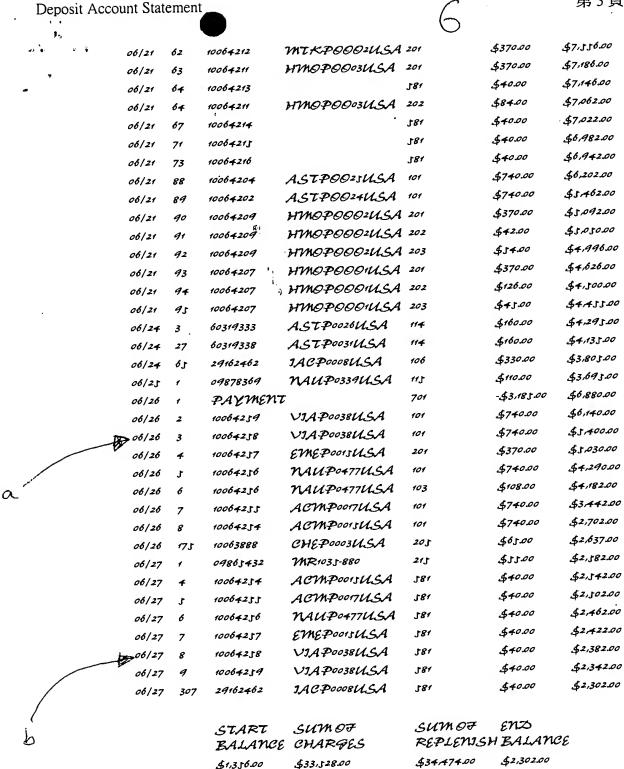
Deposit Account Statement

Requested Statement Month:	June 2002
Deposit Account Unmber:	500801
Name:	NAIPO
Attention:	WINSTONHSU
Address:	P.O. BOX 506
City:	MERRIFIELD
State:	$\vee_{\mathcal{A}}$
Zia:	22116-0506

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06/04	6	PAYMENT	;	701	-\$13,559.00	\$14,885.00
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06/13	43	10064122	MXJP0043USA	101	\$740.00	\$3,556.00
06/17	7	09800650	NAU036-1	. 115	\$110.00	\$3,446.00
06/17	156	10063771	ATCPOOOSUSA	103	-\$18.00	\$3,464.00
06/19	170	857062		J66	\$30.00	\$3,434.00
06/20	10	PAYMEN	7	701	-\$8,452.00	\$11,886.00
06/20	61	10064187	OTMP0021USA	101	\$740.00	\$11,146.00
06/21	1	10064187	OTMP0021USA	J81	\$40.00	\$11,106.00
06/21	44	10064202		J81	\$40.00	\$11,066.00
06/21	 4 ∫	10064204		J81	\$40.00	\$11,026.00
06/21	46	10064207		J <i>81</i>	\$40.00	\$10,986.00
06/21	47	10064209		581	\$40.00	\$10,946.00
06/21	J6	10064211		581	\$40.00	\$10,906.00
		10064216	MX1P0013USA	101	\$740.00	\$10,166.00
06/21	56	10064216	MXIPOO13USA	102	\$504.00	\$9,662.00
06/21	57	10064216	MX170013USA	103	\$216.00	\$9,446.00
06/21	28	10064215	VIAPOO29USA	101	\$7 4 0.00	\$8,706.00
06/21	59	10064214	EMEPOONUSA	201	\$370.00	\$8,336.00
06/21	60	10064214	SUNPOOO+US		\$370.00	\$7,966.00
06/21	61	10064212	SVIII GOGTVISV	581	\$40.00	\$7,926.00
06/21	62	1000-7212		•	•	



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